Docket No.

212766US6/phh

TES PATENT AND TRADEMARK OFFICE IN THE

JUL 3 1 2003

IN RE APPLICATION OF:

Koichi MATSUDA, et al.

SERIAL NO: 09/929,052 GAU:

2152

Technology Center 2100

FILED:

August 15, 2001

**EXAMINER:** 

FOR:

RECEIVING APPARATUS AND METHOD, SENDING APPARATUS AND METHOD, RECORDING MEDIUM,

AND COMMUNICATION SYSTEM

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

RECEIVED

SIR:

Applicant(s) wish to disclose the following information.

Technology Center 2100

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the attached European Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

Joseph A. Scafetta, Jr. Registration No. 26,803

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

| Form PTO 1449                         |                       | U.S. DEPARTMENT<br>PATENT AND TRAD                | FRANCE         | ATTY DOCKET NO.                     |   |                    | SERIAL NO.             |                      |  |
|---------------------------------------|-----------------------|---|----------------|-------------------------------------|---|--------------------|------------------------|----------------------|--|
| (Modified) PATENT AND TRADEMARK OFFIC |                       |   | EMARK OFFICE   | 212766US6                           |   |                    | 09/929,052             |                      |  |
|                                       |                       |   |                | APPLICANT                           |   |                    |                        |                      |  |
| LIST OF                               | REFER                 | RENCES CITED BY API                               | PLICANT        | Koichi MA                           | TSUDA, et al.                               |                    |                        |                      |  |
|                                       |                       |   |                | FILING DAT                          | =   |                    | GROUP                  |                      |  |
|                                       |                       |   |                | August 15, 2001                     |   |                    | 2152                   |                      |  |
|                                       |                       | ······································            |                | ·                                   | DOCUMENTS                                   |                    |                        |                      |  |
| EXAMINER                              |                       | DOCUMENT  | DATE           |                                     | NAME  | CLASS              | SUB                    | FILING DATE          |  |
| INITIAL                               |                       | NUMBER  | DATE           |                                     | TANE  | CLASS              | CLASS                  | IF APPROPRIATE       |  |
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|                                       | -                     |   | FC             | REIGN PATE                          | NT DOCUMENTS                                |                    |                        |                      |  |
|                                       | DOCUMENT<br>NUMBER    |   | DATE           | COUNTRY                             |   |                    | TRANSLATION YES NO     |                      |  |
|                                       | AO                    | WO 99/20014                                       | 04/22/99       | WIPO                                |   |                    |                        |                      |  |
|                                       | AP                    | WO 92/22033                                       | 12/10/92       | WIPO                                |   |                    |                        |                      |  |
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|                                       | 1                     | OTHER R   | EFERENCES      | (Including Au                       | thor, Title, Date, Perti                    | nent Pages.        | etc.)                  |                      |  |
|                                       | <del></del>           |   |                |                                     | ions Association, vol. 9,                   |                    |                        | pages 40-48. " AGENT |  |
|                                       | AW                    | COMMUNICATIONS                                    | ON OCN ", M    | ay 1, 1997                          |   |                    |                        | J                    |  |
|                                       | AX                    |   |                |                                     |   |                    |                        |                      |  |
|                                       | AY                    |   |                |                                     |   |                    |                        |                      |  |
|                                       | AZ                    |   |                | ☐ Add                               | Additional References sheet(s) attached     |                    |                        |                      |  |
| Examiner                              |                       |   |                |                                     |   | Date Co            | Date Considered        |                      |  |
| *Examiner: I                          | Initial if<br>e and n | reference is considered ot considered. Include of | , whether or n | ot citation is in<br>m with next co | conformance with MPE mmunication to applica | P 609; Draw<br>nt. | line throug            | h citation if not in |  |